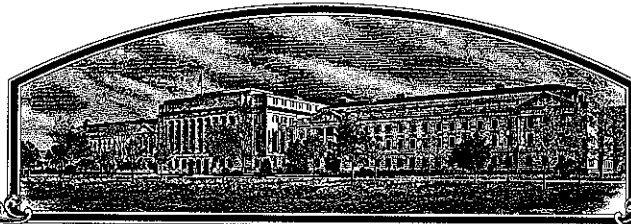


No.

9300017



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Minnesota Agricultural Experiment Station

Whereas, THERE HAS BEEN PRESENTED TO THE
Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF *eighteen* YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. THE UNITED STATES SEED OF THIS VARIETY (1) SHALL BE SOLD BY VARIETY NAME ONLY AS OF CERTIFIED SEED AND (2) SHALL CONFORM TO THE NUMBER OF GENERATIONS BY THE OWNER OF THE RIGHTS. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'Alpha'

In Testimony Whereof, I have hereunto set
my hand and caused the seal of the Plant
Variety Protection Office to be affixed
at the City of Washington, D.C.
this 30th day of November in
the year of our Lord one thousand nine
hundred and ninety-four.

Attest:

Kenneth H. Egan
Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Mike Egan
Secretary of Agriculture

COPY

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Agriculture, Clearance Office, OIRM, Room 404-W, Washington, D.C. 20250; and to the Office of Management and Budget, Paperwork Reduction Project (OMB #0581-0055), Washington, 20250.

FORM APPROVED: OMB 0581-0055, Expires 1/31/91

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE
(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

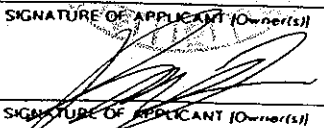
1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) Minnesota Agricultural Experiment Station		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO. M85-610	3. VARIETY NAME Alpha
4. ADDRESS (street and no. or R.F.D. no., city, state, and ZIP) University of Minnesota 220 Coffey Hall 1420 Eckles Avenue St. Paul, MN 55108		5. PHONE (include area code) (612) 625-4211	FOR OFFICIAL USE ONLY PVPO NUMBER 9300017
6. GENUS AND SPECIES NAME Glycine max	7. FAMILY NAME (Botanical) Leguminosae	8. CROP KIND NAME (Common Name) Soybean	
9. DATE OF DETERMINATION November 12, 1991		Filing and Examination Fee: \$2150.-	
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) State Agricultural Experiment Station		Date Nov. 9, 1992	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION		Certificate Fee: \$250.00	
12. DATE OF INCORPORATION		Date Oct. 13, 1994	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS J.H. Orf Department of Agronomy and Plant Genetics, 411 Borlaug Hall University of Minnesota 1991 Upper Buford Circle St. Paul, MN 55108			
14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)		PHONE (include area code): (612) 625-8275	
<input checked="" type="checkbox"/> Exhibit A, Origin and Breeding History of the Variety <input checked="" type="checkbox"/> Exhibit B, Novelty Statement. <input checked="" type="checkbox"/> Exhibit C, Objective Description of Variety. <input type="checkbox"/> Exhibit D, Additional Description of Variety. <input checked="" type="checkbox"/> Exhibit E, Statement of the Basis of Applicant's Ownership. <input checked="" type="checkbox"/> Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office _____ <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,150) made payable to "Treasurer of the United States."			
15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 82(a) of the Plant Variety Protection Act.) <input checked="" type="checkbox"/> YES (If "YES," answer items 16 and 17 below) <input type="checkbox"/> NO (If "NO," skip to item 18 below)			
16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input checked="" type="checkbox"/> FOUNDATION <input checked="" type="checkbox"/> REGISTERED <input checked="" type="checkbox"/> CERTIFIED	
18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S. <input type="checkbox"/> YES (If "YES," through <input type="checkbox"/> Plant Variety Protection Act <input type="checkbox"/> Patent Act. Give date: _____) <input checked="" type="checkbox"/> NO			
19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES? <input checked="" type="checkbox"/> YES (If "YES," give names of countries and dates) February 14, 1992 10/11/1994 <input checked="" type="checkbox"/> NO			
20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.			
SIGNATURE OF APPLICANT (Owner(s)) 		CAPACITY OR TITLE ASSOCIATE DIRECTOR	DATE 11-6-92
SIGNATURE OF APPLICANT (Owner(s))		CAPACITY OR TITLE	DATE

Exhibit A

9300017

Origin and Breeding History of Alpha Soybean

'Alpha' traces to the F₄ progeny of a F₃ plant harvested from a population that had been advanced by a modified single seed descent procedure from the cross Fayette x McCall. Hill plots of the F₄ seed were screened in the field in Briceyn, MN against race 3 of the soybean cyst nematode. Bulk seed of the F₄ row was designated M85-610 and was used for screening against race 3 of soybean cyst nematode in the greenhouse and for yield testing in the F₅ (1986). Subsequent tests of strain M85-610 were conducted in Minnesota in the F₆ (1987) and F₇ (1988). In the F₇ generation 50 typical plants were harvested individually to initiate purification for observable traits including greenhouse reaction to race 3 of soybean cyst nematode. In the F₈ (1989), M85-610 was entered in the maturity group I Soybean Cyst Nematode Regional Soybean Test. In 1989, forty plant rows were grown for purification purposes. Fourteen rows appeared uniform for plant and seed characteristics including greenhouse resistance to race 3 of soybean cyst nematode, therefore, seed of these rows were bulked to provide breeder's seed. In the F₉ (1990) and F₁₁ (1991), M85-610 was tested in the Uniform Regional Soybean Test Maturity Group I. In the F₁₀ (1990-91) all small increase of breeders seed was made in Belize. In the F₁₁ (1991) Foundation seed was produced by the Minnesota Foundation seed organization. In the F₁₁ (1991) seed was increased and M85-610 was approved for release as Alpha. On February 14, 1992, seed of Alpha was released to registered and/or certified growers in Minnesota. No off-type variants were noted in the seed multiplication process of Alpha over three generations. This variety breeds true and meets certification standards.

Exhibit B

Novelty Statement

'Alpha' is similar to Hardin. Alpha is approximately two days earlier than Hardin. Alpha is resistant to race 3 and moderately resistant to race 1 of the soybean cyst nematode while Hardin is susceptible to both race 3 and race 1 of the soybean cyst nematode. Alpha derives its soybean cyst nematode resistance from PI 88788 through 'Fayette'. Alpha has a yield potential about nine percent lower than Hardin under non cyst nematode conditions. Under soybean cyst nematode infested conditions Alpha outyields Hardin by twenty-three percent. Alpha and Hardin are similar in height. Alpha has a slightly higher lodging score than Hardin. Seeds of Alpha are about 1.2 grams per 100 seeds smaller than Hardin. Alpha has about 1.4 percent higher protein and 1.1 percent lower oil content than Hardin. Alpha is susceptible to race 1 of phytophthora while Hardin is resistant to race 1 of phytophthora.

Data concerning Alpha and Hardin taken from the Uniform Soybean Test I, Northern States 1990-91 (a total of 28 tests for most traits).

Variety	Date mature	Yield bu/a	Height inches	Lodging score	Seed	Seed	Protein %	Oil %
					Quality score	Size g/100		
Alpha	9/16	46.2	37	2.7	2.1	14.8	42.0	19.6
Hardin	9/18	51.1	37	2.4	2.0	16.0	40.6	20.7

9300017

Data concerning Alpha and Hardin taken from the Soybean Cyst Nematode Test I, infested sites,
1988-89 (a total of 5 tests for most traits).

Variety	Date	Yield	Height	Lodging	Seed Quality	Seed Size	Protein	Oil
	mature	bu/a	inches	score	score	g/100	%	%
Alpha	9/22	36.9	42	3.0	2.1	13.9	41.3	20.2
Hardin	9/24	29.8	43	2.5	2.2	15.1	39.9	21.3

OBJECTIVE DESCRIPTION OF VARIETY
SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) Minnesota Agricultural Experiment Station	TEMPORARY DESIGNATION M85-610	VARIETY NAME Alpha
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) University of Minnesota 220 Coffey Hall, 1420 Eckles Avenue St. Paul, MN 55108		FOR OFFICIAL USE ONLY PVPO NUMBER 93000017

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Consoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) _____

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1^a)2 = Type B (SP1^b)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) _____

11. LEAFLET SIZE:

☐ 21 = Small ('Amsoy 71'; 'A5312')
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

12. LEAF COLOR:

☐ 21 = Light Green ('Weber'; 'York')
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

★ 13. FLOWER COLOR:

☐ 2

1 = White

2 = Purple

3 = White with purple throat

★ 14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

★ 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

16. PLANT TYPES:

☐ 21 = Slender ('Essex'; 'Amsoy 71')
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

★ 17. PLANT HABIT:

☐ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ 18. MATURITY GROUP:

☐ 0 ☐ 4

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★

☐ 0Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★

☐ 0Bacterial Blight (*Pseudomonas glycinea*)

★

☐ 0Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★

☐ 0Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)

★

☐ 0

Race 1

☐

Race 2

☐

Race 3

☐

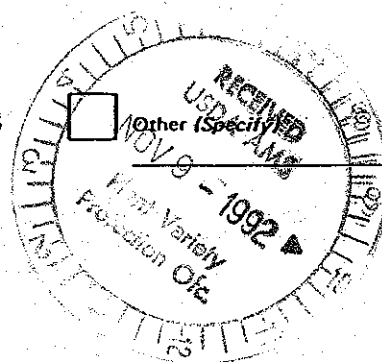
Race 4

☐

Race 5

☐ 0Target Spot (*Corynespora cassicola*)☐ 0Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 0Powdery Mildew (*Microsphaera diffusa*)

★

☐ 2Brown Stem Rot (*Cephalosporium gregatum*)☐ 0Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

FUNGAL DISEASES: (Continued)

- ★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 0 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 1 Race 1 ☐ 0 Race 2 ☐ 0 Race 3 ☐ 0 Race 4 ☐ 0 Race 5 ☐ 0 Race 6 ☐ 0 Race 7
- ☐ 0 Race 8 ☐ 0 Race 9 ☐ Other (Specify) _____

VIRAL DISEASES:

- ☐ 0 Bud Blight (Tobacco Ringspot Virus)
- ☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 0 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 0 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 2 Race 1 ☐ 0 Race 2 ☐ 2 Race 3 ☐ 0 Race 4 ☐ Other (Specify) _____
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ OTHER DISEASE NOT ON FORM (Specify): _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 1 Iron Chlorosis on Calcareous Soil
- ☐ Other (Specify) _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ Other (Specify) _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	Hardin	Seed Coat Luster	Hardin
Leaf Shape	Hardin	Seed Size	Weber
Leaf Color	Hardin	Seed Shape	Hardin
Leaf Size	Hardin	Seedling Pigmentation	Hardin

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
Alpha Submitted	9/16	2.7	94	80	119	42.0	19.6	14.8	2.4
Hardin Name of Similar Variety	9/18	2.4	94	76	114	40.6	20.7	16.0	2.3

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A₂ in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

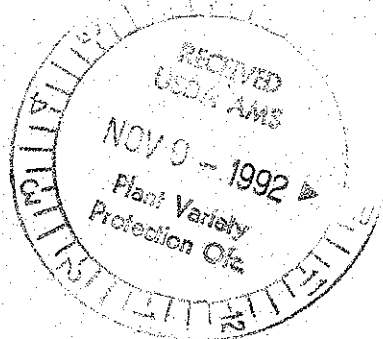


Exhibit E

Statement of the Basis of Ownership

The Minnesota Agricultural Experiment Station is the owner of Alpha soybean. The Minnesota Agricultural Experiment Station of the University of Minnesota is the employer of the breeder who developed Alpha.